



**USUHS FORM 3206A**  
**ANIMAL STUDY PROTOCOL**  
**(annual review)**

REA Date Stamp

**Animal Protocol No.:** \_\_\_\_\_

**Principal Investigator:** \_\_\_\_\_

**Department:** \_\_\_\_\_ **Phone** \_\_\_\_\_

**Animal Protocol Title:** \_\_\_\_\_  
(If animal species not identified in title, list parenthetically after title, i.e., rat, mice, etc.)

**Performance Site:** [ ] USUHS [ ] Other? \_\_\_\_\_

**Total number of animals approved:**

I understand that USDA Animal Welfare regulations require all Animal Study Protocols involving procedures in regulated animal species be reviewed annually by the Laboratory Animal Review Board (LARB). Significant changes as outlined on the reverse require the submission of a new USUHS Form 3206 or a USUHS Form 3206B, which are available in Research Administration. **A new USUHS Form 3206 is required for each triennial review.**

I have conducted an **annual review** of the procedures outlined in my current USUHS Form 3206 and have found one of the following to be true:

- [ ] No significant changes have occurred in Form 3206 for this animal study protocol.
- [ ] Significant changes have occurred in this animal study protocol which require submission of a new USUHS Form 3206 or USUHS Form 3206B (attached).
- [ ] The animal study protocol referenced above has been completed or discontinued and may be removed from the active animal study protocol list.

\_\_\_\_\_  
Principal Investigator (signature) Date

\_\_\_\_\_  
Department Chairperson (signature) Date

***[For Administrative Use Only]***

This annual update was reviewed by the LARB and recommended for approval on \_\_\_\_\_  
Date

\_\_\_\_\_  
**Chairperson, LARB**

\_\_\_\_\_  
**Date**

## **GUIDELINES FOR SIGNIFICANT CHANGES TO ANIMAL STUDY PROTOCOLS**

The regulations which implement the Animal Welfare Act, and PHS Policy which implements the Health Research Extension Act of 1985, require that the Laboratory Animal Review Board (LARB) review and approve significant changes regarding the use of animals in ongoing activities described in the LARB approved "USUHS Animal Study Proposal, USUHS Form 3206." Significant changes, as interpreted by the National Institutes of Health (NIH), are those that are likely to impact substantially and directly on the health and well-being of the experimental animals, and thus, need review and approval by the LARB. The following are examples of changes that the NIH Animal Care and Use Committee normally would consider to be significant. This list of examples is not meant to be comprehensive.

- A. A change in the overall aims or objectives of the study.
- B. A change which may involve an increase in the level of pain, distress, and/or discomfort.
- C. A change from non-surgery to surgery, from minor to major surgery, from non-survival to survival surgery, or from single to multiple survival surgery.
- D. An increase in the number of animals needed to complete the protocol.
- E. A change in the species of animals used.
- F. A change in the personnel who will be performing procedures involving animals.
- G. The addition of the use of hazardous agents in animal procedures.